

Register Number:

Name of the Candidate:

B.B.A. DEGREE EXAMINATION, May 2015

(FINANCIAL PLANNING)

(SECOND YEAR)

640: CAPITAL MARKET

Time: Three hours

Maximum: 100 marks

SECTION-A

(10×2=20)

Answer any TEN questions

1. What is a Capital Market?
2. What is 'Basket Trading'?
3. Define the term 'Depository'.
4. What is 'Margin Trading'?
5. What is a 'Contract Note'?
6. Define the term 'Derivative'.
7. What does 'NSE' stands for?
8. Define the term 'Limit order'.
9. What is meant by 'Listing'?
10. What are 'Circuit filters'?
11. What is meant by 'Stock indices'?
12. Expand the term 'IPO'.

SECTION-B

(4×10=40)

Answer any FOUR questions

13. Explain the role of Depository from the point of view of investors.
14. Examine the role of intermediaries in the 'New issue market'.
15. Discuss the functions of the secondary market.
16. Describe the various kinds of brokers.
17. Examine the trading membership in NSE.
18. Explain the process of settlement under trading of securities.

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SECTION-C

(2×20=40)

Answer any TWO questions

19. Describe the statistical tools used to measure the risk of the securities return.
20. Briefly explain the role played by SEBI regulating the securities market.
21. Examine the various types of transaction costs absorbed in the securities market.
22. Discuss the different types of orders one may place while trading in shares.
